

END-USE ASSURANCES COMMONLY USED

INDICATIVE LIST

(Agreed at the WA Plenary, December 1999)

The following is a non-binding list of end-use assurances to be used by Participating States at their discretion.

1. Parties involved in the transaction

- 1.1 Exporter's full name and address;
- 1.2 Intermediate consignee's(s') full name and address (if applicable);
- 1.3 Final consignee's(s')¹ full name and address;
- 1.4 End-user's full name and address (if different from the final consignee);

2. Goods

- 2.1 A detailed description of the goods which discloses their true identity;
- 2.2 Include quantities and values;

3. End-use

- 3.1 Describe the specific end-use of the goods;
- 3.2 Provide assurances that the goods will not be used other than for stated purposes; and/or
- 3.3 Provide an undertaking that the goods will be used for civil end-uses; and/or
- 3.4 End-user certification that the goods will not be used for chemical, biological or nuclear weapons, or for missiles capable of delivering such weapons;

4. Location

- 4.1 Provide certification that the goods will be installed at the premises of the end-user or will be used only by the end-user;
- 4.2 The final consignee/end-user agrees to allow on-site verification;

¹ Meaning the last destination of the export (goods) known to the exporter.

5. Re-export / Diversion

- 5.1 The final consignee's/end-user's undertaking not to tranship or re-export the goods covered by the End-use Certificate/Statement; and/or
- 5.2 No re-exports without approval from the government of the original exporting country; and/or
- 5.3 The final consignee's/end-user's assurance that any re-exports will be done under the authority of the final consignee's/end-user's export licensing authorities;
- 5.4 The final consignee's/end-user's undertaking not to divert the goods covered by the End-use Certificate/Statement to another destination or location in the importing country;

6. Delivery Verification

- 6.1 Provide a commitment by the final consignee to provide the exporter or the exporting government with proof of importation, upon request (e.g., provide a Delivery Verification Certificate (DV));

7. Documentation

- 7.1 Signature, name and title of final consignee's/end-user's representative;
- 7.2 Signature and end-use certification by the final consignee's/end-user's government or other authority as to the authenticity of the primary details provided in the document;
- 7.3 If issued by government authority, a unique identifying Certificate/Statement number;
- 7.4 Original End-user Certificate/Statement or legally certified copies.