

**END-USER ASSURANCES COMMONLY USED
CONSOLIDATED INDICATIVE LIST**

(Agreed at the 1999 Plenary; amended at the 2005 Plenary)

The following is a non-binding list of end-use assurances to be used by Participating States at their discretion.

Note: This Indicative List covers both the military pillar and the dual-use pillar

Essential elements	Optional elements
1. <u>Parties involved in the transaction</u>	1. <u>Parties involved in the transaction</u>
1.1. Exporter's details ¹ ;	1.2. Intermediate consignee's details;
1.4. End-user's details. In the case of an export to a firm which resells the goods on the local market, the firm will be regarded as the end-user	1.3. Final consignee's details;
2. <u>Goods</u>	2. <u>Goods</u>
2.1. A description of the goods being exported (type, characteristics) and/or reference to the contract number or order number concluded with the authorities of the final destination country	
2.2. Quantity and/or value of the exported goods	
3. <u>End-use</u>	3. <u>End-use</u>
3.1. Indication of the end-use of the goods;	
3.2. An undertaking, where appropriate, that the goods being exported will not be used for purposes other than the declared use; and/or	
3.4. An undertaking, where appropriate, that the goods will not be used in the development, production or use of the chemical, biological or nuclear weapons or for missiles capable of delivering such weapons.	3.3. Provide an undertaking that the goods will be use for civil-end use;

¹ Details of exporter/intermediate consignee/final consignee/end-user means name, business name, address, phone, fax, e-mail, website (if available)

Essential elements

4. Location
5. Re-export / Diversion
6. Delivery Verification
7. Documentation
 - 7.1 Signature, name and title of final consignee's/end-user's representative;
 - 7.4 Original End-user Certificate/Statement or legally certified copies;

Optional elements

4. Location
 - 4.1 Provide certification that the goods will be installed at the premises of the end-user or will be used only by the end-user;
 - 4.2 The final consignee/end-user agrees to allow on-site verification;
5. Re-export / Diversion
 - 5.1 The final consignee's/end-user's undertaking not to tranship or re-export the goods covered by the End-use Certificate/Statement; and/or
 - 5.2 No re-exports without approval from the government of the original exporting country; and/or
 - 5.3 The final consignee's/end-user's assurance that any re-exports will be done under the authority of the final consignee's/end-user's export licensing authorities;
 - 5.4 The final consignee's/end-user's undertaking not to divert or relocate the goods covered by the End-use Certificate/Statement to another destination or location in the importing country;
6. Delivery Verification
 - 6.1 Provide a commitment by the final consignee to provide the exporter or the exporting government with proof of importation, upon request (e.g., provide a Delivery Verification Certificate (DVC));
7. Documentation
 - 7.2 Signature and end-use certification by the final consignee's/end-user's government or other authority as to the authenticity of the primary details provided in the document
 - 7.3 If issued by the government authority, a unique identifying Certificate/Statement number;
 - 7.5 Validity terms and date of issue