

CRITERIA FOR THE SELECTION OF DUAL-USE ITEMS

(Adopted in 1994^{*} and amended by the Plenary in 2004 and 2005^{**})

Dual-use goods and technologies to be controlled are those which are major or key elements for the indigenous development, production, use¹ or enhancement of military capabilities². For selection purposes the dual-use items should also be evaluated against the following criteria:

- Foreign availability outside Participating States.
- The ability to control effectively the export of the goods.
- The ability to make a clear and objective specification of the item.
- Controlled by another regime³.

* The initial version of the Criteria for the Selection of Dual-Use Items was approved in 1994 at the High Level Meeting in the course of the "New Forum" negotiations (see document "Genesis of the Wassenaar Arrangement").

** In 2019, having reviewed this document, Participating States concluded that it remained relevant and applicable in a rapidly evolving technology and security environment.

¹ Use means operation, installation (including on-site installation), maintenance (checking), repair, overhaul and refurbishing.

² Controlled by the Munitions List.

³ An item which is controlled by another regime should not normally qualify to be controlled by the Wassenaar Arrangement unless additional coverage proves to be necessary according to the purposes of the Wassenaar Arrangement, or when concerns and objectives are not identical.

CRITERIA FOR THE SELECTION OF DUAL-USE GOODS AND TECHNOLOGIES FOR THE SENSITIVE LIST*

(Adopted by the Plenary in 1998 and amended in 2000 and 2004**)

Those items from the Dual-use List which are key elements directly related to the indigenous development, production, use or enhancement of advanced conventional military capabilities whose proliferation would significantly undermine the objectives of the Wassenaar Arrangement.

- N.B.
1. *General commercially applied materials or components should not be included.*
 2. *As appropriate, the relevant threshold parameters should be developed on a case-by-case basis.*

* These criteria should not be construed as preventing Participating States from considering, in special circumstances, that controlled items warrant transparency for reasons associated with the objectives of the Wassenaar Arrangement.

** In 2019, having reviewed this document, Participating States concluded that it remained relevant and applicable in a rapidly evolving technology and security environment.

**CRITERIA FOR THE SELECTION OF
DUAL-USE GOODS AND TECHNOLOGIES FOR THE VERY SENSITIVE LIST***

(Adopted by the Plenary in 2000 and amended in 2004**)

Those items from the Sensitive List which are key elements essential for the indigenous development, production, use or enhancement of the most advanced conventional military capabilities whose proliferation would significantly undermine the objectives of the Wassenaar Arrangement.

N.B. As appropriate, the relevant threshold parameters should be developed on a case-by-case basis.

* These criteria should not be construed as preventing Participating States from considering, in special circumstances, that controlled items warrant extreme vigilance for reasons associated with the objectives of the Wassenaar Arrangement.

** In 2019, having reviewed this document, Participating States concluded that it remained relevant and applicable in a rapidly evolving technology and security environment.