

**END-USER ASSURANCES COMMONLY USED  
CONSOLIDATED INDICATIVE LIST**

(Agreed at the 1999 Plenary; amended at the 2005 and the 2022 Plenaries)

The following is a non-binding list of end-user assurances to be used by Participating States at their discretion.

*Note: This Indicative List covers both military and dual-use goods, software and technologies.*

Essential elements	Optional elements
<u>1. Parties involved in the transaction</u>	<u>1. Parties involved in the transaction</u>
1.1. Exporter's details <sup>1</sup> ;	
	1.2 Intermediate consignee's details;
	1.3 Final consignee's details;
1.4 End-user's details. In the case of an export to a firm which resells the goods on the local market, the firm will be regarded as the end-user.	
<u>2. Goods</u>	<u>2. Goods</u>
2.1 A description of the goods being exported (type, characteristics) and/or reference to the contract number or order number concluded with the authorities of the final destination country;	
2.2 Quantity and/or value of the exported goods;	
	2.3 Control list classification of the exported goods.
<u>3. End-use</u>	<u>3. End-use</u>
3.1 Indication of the end-use of the goods;	
3.2 An undertaking, where appropriate, that the goods being exported will not be used for purposes other than the declared use; and/or	
	3.3 Provide an undertaking that the goods will be used for civil end-use; or that the goods will be incorporated into a specified product;
3.4 An undertaking, where appropriate, that the goods will not be used in the development, production or use of the chemical, biological or nuclear weapons or for missiles capable of delivering such weapons.	
<u>4. Location</u>	<u>4. Location</u>
	4.1 Provide certification that the goods will be installed at the premises of the end-user or will be used only by the end-user;
	4.2 The final consignee/end-user agrees to allow on-site verification.

<sup>1</sup> Details of exporter/intermediate consignee/final consignee/end-user means name, business name, physical address, country, contact person, phone, fax, e-mail, website (if available)

<b>Essential elements</b>	<b>Optional elements</b>
<u>5. Re-export / Diversion</u>	<u>5. Re-export / Diversion</u>
	5.1 The final consignee's/end-user's undertaking not to transship or re-export the goods covered by the End-use Certificate/Statement; and/or
	5.2 No re-exports without approval from the government of the original exporting country; and/or
	5.3 The final consignee's/end-user's assurance that any re-exports will be done under the authority of the final consignee's/end-user's export licensing authorities;
	5.4 The final consignee's/end-user's undertaking not to divert or relocate the goods covered by the End-user Certificate/Statement to another destination or location in the importing country;
	5.5 The final consignee's/end-user's undertaking that the exported goods will not be retransferred to an unauthorized internal end-user.
<u>6. Delivery Verification</u>	<u>6. Delivery Verification</u>
	6.1 Provide a commitment by the final consignee to provide the exporter or the exporting government with proof of importation, upon request (e.g., provide a Delivery Verification Certificate (DVC)).
<u>7. Documentation</u>	<u>7. Documentation</u>
7.1 Signature, name and title of final consignee's/end-user's representative;	
	7.2 Signature and end-use certification by the final consignee's/end-user's government or other authority as to the authenticity of the primary details provided in the document;
	7.3 If issued by a government authority, a unique number identifying the End-user Certificate/Statement;
7.4 Original End-user Certificate/Statement or legally certified copies;	
7.5. Date of issue;	
	7.6 Validity terms and place of issue.